

IndexMax ADVSM 5 & 7 fixed index annuity state availability and forms chart

Effective 5-3-22



New updates are listed in red.

Forms information

Annuity allocation form (33240Y):

- Required for all annuity sales.

Client advisor authorization form (32041Y):

- Required for all annuity sales.

Index disclosure supplement (26091Y-1):

- Required for all annuity sales.

Annuity suitability forms:

- Consumer profile form¹ (32906Y):** Required for all annuity sales.
- Comparison of annuity contracts form¹ (32907Y):** Required for all annuity to annuity replacement transactions in all states except AR, FL, and NV.
- Producer disclosure form¹ (32931):** Required for all annuity sales in the states of AL, AR, AZ, CT, DE, IA, ID, KY, ME, MI, MS, MT, ND, NE, OH, RI, TX, and VA. We will accept either our company version or other created versions of the form.
- Producer best interest attestation form¹ (32921Y):** Required for all annuity sales in the states of AL, AR, AZ, CT, DE, IA, ID, KY, ME, MI, MS, MT, ND, NE, OH, RI, TX, and VA.

1. Form is not required if Registered Investment Advisor delegated review unless fund source is internal replacement in which case the Consumer profile form and applicable Comparison of annuity contracts form or state Disclosure comparison form must be submitted.

State specific forms information

Alabama:

- Alabama Arbitration Agreement (12538Y-01): Required for all annuity sales.

Arkansas:

- Replacement comparison disclosure statement (12338-AR): Required for internal annuity to annuity replacement transactions only.

California:

- CA elder disclosure (7572Y): Required if owner or annuitant's age is 65 or older.
- CA home visit form (9299Y): Required to be sent to clients age 65 and older prior to first home visit.

Florida:

- Disclosure and comparison form (25134Y-1): Required for internal annuity to annuity replacement transactions only.
- FL Suitability questionnaire (21240): Required for internal annuity to annuity replacement transactions only.
- Replacement form (6153-A): Required upon client request.
- Replacement forms (6779-FL and 6153): Required for internal replacements.

Kansas:

- Replacement form (6779-KS-A): Required for internal replacements.
- Single premium disclosure form (6778-KS): Required for all annuity sales.

Nevada:

- Replacement Comparison disclosure statement (12338-NV): Required for internal annuity to annuity replacement transactions only.

Ohio:

- Single premium disclosure form (6778-OH): Required for all annuity sales.

Other

- If the owner(s) or annuitant(s) has a birthday (prior to the Contract being issued) that causes their age to be higher than the maximum issue age for that fixed annuity, Midland National will not issue the policy.
- Non-qualified stretch:** Not available.
- Inherited IRAs:** Not available.
- 403(b):** Transfer/Rollover business is accepted.

IndexMax ADV 5		IndexMax ADV 7	
Standard disclosure form	32908Y	Standard disclosure form	32936Y
		CA, DE	32936Y-B

Availability is subject to change.

	IndexMax ADV 5 & 7-year	Application	Replacement notice
AK	X	ICC15-22651Y	6779*
AL	X	ICC15-22651Y	6779*
AZ	X	ICC15-22651Y	6779*
AR	X	ICC15-22651Y	6779*
CA	X	22651Y	6779-CA
CO	X	ICC15-22651Y	6779* ^
CT	X	ICC15-22651Y	6779*
DE	X	ICC15-22651Y	6779-DE
DC	X	ICC15-22651Y	6779
FL	X	22651Y-09	6779-FL
GA	X	ICC15-22651Y	6779
HI	X	ICC15-22651Y	6779*
ID	X	ICC15-22651Y	6779-ID
IL	X	ICC15-22651Y	6779-IL A & B
IN	X	ICC15-22651Y	6779-IN
IA	X	ICC15-22651Y	6779*
KS	X	ICC15-22651Y	6779-KS-B
KY	X	ICC15-22651Y	6779*
LA	X	ICC15-22651Y	6779*
ME	X	ICC15-22651Y	6779*
MD	X	ICC15-22651Y	6779*
MA	X	ICC15-22651Y	6779-MA
MI	X	ICC15-22651Y	6779
MN	X	ICC15-22651Y	6779-MN
MS	X	ICC15-22651Y	6779*
MO	X	ICC15-22651Y	6779-NAIC*
MT	X	ICC15-22651Y	6779*
NE	X	ICC15-22651Y	6779*
NV	X	ICC15-22651Y	6779-NV
NH	X	ICC15-22651Y	6779*
NJ	X	ICC15-22651Y	6779*
NM	X	ICC15-22651Y	6779*
NC	X	ICC15-22651Y	6779*
ND	X	22651Y	6779
OH	X	ICC15-22651Y	6779*
OK	X	ICC15-22651Y	6779-OK
OR	X	ICC15-22651Y	6779*
PA	X	ICC15-22651Y	6779-PA
RI	X	ICC15-22651Y	6779*
SC	X	ICC15-22651Y	6779*
SD	No nursing home confinement waiver	22651Y	6779*
TN	X	ICC15-22651Y	6779-TN
TX	X	ICC15-22651Y	6779*
UT	X	ICC15-22651Y	6779*
VT	X	ICC15-22651Y	6779*
VA	X	ICC15-22651Y	6779-NAIC*
WA	X	ICC15-22651Y	6779-WA
WV	X	ICC15-22651Y	6779*
WI	X	ICC15-22651Y	6779*
WY	X	ICC15-22651Y	6779-WY

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*A replacement notice is required if the applicant owns an annuity OR life insurance policy.

^For Colorado: If a resident purchases a life insurance or annuity contract outside the state of Colorado involving a replacement, the issuing company must receive the Colorado replacement form along with the replacement form required for the state in which the application is signed. If that replacement form is the same version that Colorado uses, only one replacement form is required.

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