

Advisory fee request form



P.O. Box 10385, Des Moines, IA 50306-0385

Please submit request via fax to 877-586-0249.

Use this form to request a fee withdrawal from your client's Midland National Annuity Contract to a pay a registered investment advisory fee.

Prior to any advisory fee withdrawal requests, Midland National must have a completed Client advisor authorization form providing authorization from your client to process advisory fees from their Annuity Contract.

Any advisory fees withdrawn are not assessed by Midland National and are separate from and in addition to any of Midland National's Contract fees and expenses. Advisory fee withdrawals from the Annuity Contract reduce the Contract value by the withdrawn amount. Fee withdrawals will result in a reduction of the available penalty-fee amount as well as values associated with any guaranteed lifetime withdrawal benefits or enhanced death benefits. Please refer to the Annuity Contract regarding overall impact of withdrawals on the Contract.

General information

Owner's name (first, middle initial, last)		Annuity Contract number	
RIA firm Central Registration Depository (CRD) number	Registered Investment Advisor's (RIA) firm name		
Registered Investment Advisor's name		Registered Investment Advisor's phone number	

One-time withdrawal for advisory fees (complete this section for a one-time advisory fee withdrawal)

Dollar amount \$ _____

Systematic fee withdrawals

Complete this section to setup a systematic advisory fee withdrawal. Selections you make below replace any previous systematic fee withdrawal instructions on file.

Withdrawal option:

Annual percent _____ %

* Annual maximum varies by product.
See your Contract for details.

Dollar amount \$ _____

* The dollar amount indicated will be deducted each frequency.

Start date and frequency:

Start date _____ (mm/dd/yyyy)

Frequency

Monthly

Quarterly

Semi-annual

Annual

Payee instructions (advisory fee may only be paid to firm)

Electronic Funds Transfers (EFT)

Check

If EFT instructions are not received in good order, Midland National will process the fee as a check to the firm (if all other instructions are in good order).

Payee's name (first, middle initial, last)		Payee's phone number	
Payee's mailing address			
Payee's City, State, ZIP			
Financial institution name		Financial institution phone number	
Financial institution routing number		Financial institution account number	

Acknowledgements

- I understand that the payment of fees from the Contract listed on page 1 constitutes a partial surrender under the terms of the Contract and will result in reduction of the available penalty-free amount and reduction of values associated with any guaranteed lifetime withdrawal benefits or enhanced death benefits.
- I understand that Midland National has been granted a Private Letter Tax Ruling (PLR) from the Internal Revenue Service that allows advisory fees on fixed index annuity, variable annuity and registered index-linked annuity non-qualified Contracts to not be considered distributions provided they do not exceed an amount equal to an annual rate of 1.5% of the Contract's cash value. Midland National limits the advisory fees allowed on annuities, and those limits could be lower than the PLR. Advisor fee withdrawals from non-qualified multi-year guaranteed annuity Contracts are considered distributions and may be taxable to the Contract Owner. Please refer to the Annuity Contract for details.
- I understand that Midland National has no responsibility to review the propriety of reasonableness of any request for payment of advisory fees and will not undertake such review.
- I understand that any one-time fee withdrawal instructions received after an event that terminates the RIA's authority to request advisory fee withdrawals (e.g., death, change of ownership, full surrender, annuitization, etc) will not be processed.
- I understand that it is my responsibility to notify Midland National in order to discontinue an existing systematic advisory fee withdrawal program in the event that the relationship with the Contract Owner is terminated.

Print name - authorized person	
Signature - authorized person	Date (mm/dd/yyyy)



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