

Midland National Capital IncomeSM fixed index annuity state availability and forms chart



Effective 10-1-20

Forms information

Annuity allocation form (32311Y)

- Required for all annuity sales.

Client advisor authorization form (32041Y)

- Required for all annuity sales.

Comparison of annuity contracts form (26002Y)

- Required for internal annuity to annuity replacement transactions in all states **except** Arkansas, Florida and Nevada.

Deferred suitability form (29678Y)

- Required for internal replacements.

Fidelity Multifactor Yield Index 5% ER disclosure supplement (31773Y)

- Required for all annuity sales.

State specific forms information

Alabama:

- Alabama Arbitration Agreement (12538Y-01): Required for all annuity sales.

Arkansas:

- Replacement comparison disclosure statement (12338Y-AR): Required for internal annuity to annuity replacement transactions only.

California:

- CA elder disclosure (7572Y): Required if owner or annuitant's age is 65 or older.
- CA home visit form (9299Y): Required to be sent to clients age 65 and older prior to first home visit.

Florida:

- Disclosure and comparison form (25134Y): Required for internal annuity to annuity replacement transactions only.
- FL Suitability questionnaire (21240Y): Required for internal annuity to annuity replacement transactions only.
- Replacement form (6153Y-A): Required upon client request.
- Replacement forms (6779Y-FL and 6153Y): Required for internal replacements.

Idaho:

- ID annuity disclosure (32923Y-CI): Required for all annuity sales.

Kansas:

- Replacement form (6779Y-KS-A): Required for internal replacements.
- Single premium disclosure form (6778Y-KS): Required for all annuity sales.

Nevada:

- Replacement Comparison disclosure statement (12338Y-NV): Required for internal annuity to annuity replacement transactions only.

Ohio:

- Single premium disclosure form (6778Y-OH): Required for all annuity sales.

Other

- If the owner(s) or annuitant(s) has a birthday (prior to the Contract being issued) that causes their age to be higher than the maximum issue age for that fixed annuity, Midland National will not issue the policy.
- **Non-qualified stretch:** Not available.
- **Inherited IRAs:** Not available.
- **403(b):** Transfer/Rollover business is accepted.

Disclosure forms

Standard disclosure form	32372Y
CA	32372Y-04

Availability is subject to change.

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32429Y | REV 10-1-20 | West Des Moines, IA | MidlandNational.com

New updates are listed in red.

	Midland National Capital Income	Application	Replacement notice
AK	X	ICC15-22651Y	6779*
AL	X	22651Y-01	6779*
AZ	X	ICC15-22651Y	6779*
AR	X	ICC15-22651Y	6779*
CA	X	22651Y	6779-CA
CO	X	ICC15-22651Y	6779*^
CT	X	ICC15-22651Y	6779*
DE	X	22651Y	6779-DE
DC	X	22651Y	6779
FL	X	22651Y-09	6779-FL
GA	X	ICC15-22651Y	6779
HI	X	ICC15-22651Y	6779*
ID	X	ICC15-22651Y	6779-ID
IL	X	ICC15-22651Y	6779-IL A & B
IN	X	ICC15-22651Y	6779-IN
IA	X	ICC15-22651Y	6779*
KS	X	ICC15-22651Y	6779-KS-B
KY	X	ICC15-22651Y	6779*
LA	X	ICC15-22651Y	6779*
ME	X	ICC15-22651Y	6779*
MD	X	ICC15-22651Y	6779*
MA	X	ICC15-22651Y	6779-MA
MI	X	ICC15-22651Y	6779
MN	X	ICC15-22651Y	6779-MN
MS	X	ICC15-22651Y	6779*
MO	X	ICC15-22651Y	6779-NAIC*
MT	X	ICC15-22651Y	6779*
NE	X	ICC15-22651Y	6779*
NV	X	ICC15-22651Y	6779-NV
NH	X	ICC15-22651Y	6779*
NJ	X	22651Y	6779*
NM	X	ICC15-22651Y	6779*
NC	X	ICC15-22651Y	6779*
ND	X	22651Y	6779
OH	X	ICC15-22651Y	6779*
OK	X	ICC15-22651Y	6779-OK
OR	X	ICC15-22651Y	6779*
PA	X	22651Y	6779-PA
RI	X	ICC15-22651Y	6779*
SC	X	ICC15-22651Y	6779*
SD	X	22651Y	6779*
TN	X	ICC15-22651Y	6779-TN
TX	X	ICC15-22651Y	6779*
UT	X	ICC15-22651Y	6779*
VT	X	ICC15-22651Y	6779*
VA	X	ICC15-22651Y	6779-NAIC*
WA	X	ICC15-22651Y	6779-WA
WV	X	ICC15-22651Y	6779*
WI	X	ICC15-22651Y	6779*
WY	X	ICC15-22651Y	6779-WY

*A replacement notice is required if the applicant owns an annuity OR life insurance policy.

^ For Colorado: If a resident purchases a life insurance or annuity contract outside the state of Colorado involving a replacement, the issuing company must receive the Colorado replacement form along with the replacement form required for the state in which the application is signed. If that replacement form is the same version that Colorado uses, only one replacement form is required.